



Are global clinical trial funders policies on clinical trial registration and reporting improving? a cross-sectional study

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What was measured?

- -Assessment tool: 11 items based on WHO trial transparency benchmarks
- **Categories**: trial registration, journal publication, monitoring, sanctions
- **Multiple raters**, used same rating guide
- **Binary scoring:** no point received for non-binding policies
- **Adjudicator** for rater disagreements

WHO Assessment Tool

Policy Item	Does this appear in policy documents?	
	Yes	No
Prospective trial registration		
Registry records kept up to date		
Results posted onto registry within 12 months		
Protocol posted onto registry within 12 months		
Results made public in journal		
Trial ID included in all publications		
Open access publication		
Funder monitors trial registration		
Funder monitors results reporting		
Funder considers PI's past reporting record for future funding		
Funder makes PI reporting records public		

Why each Policy Item Matters?

Results: United States

Number of policy items per funder





Funders

- 1. National Institutes of Health
- 2. US Department of Veterans Affairs
- 3. Patient-Centered Outcomes Research Institute
- 4. US Food and Drug Administration
- 5. Susan G. Komen Breast Cancer Foundation
- 6. Michael J. Fox Foundation for Parkinson's Research
- 7. Juvenile Diabetes Research Foundation International
- 8. American Heart Association
- 9. Congressionally Directed Medical Research Programs (DoD)
- 10. Alzheimer's Association
- 11.Bill and Melinda Gates Foundation
- 12.Leukemia and Lymphoma Society
- 13.Centers for Disease Control
- 14.Agency for Healthcare Research
 - and Quality
- 1. American Cancer Society

Results: EU + global



Funders

25



1. NIHR (UK) 2. Wellcome Trust 3. Swedish Research Council 4. Research Council Norway 5. Medical Research Council (UK) 6. Inserm (France) FWO: Research Foundation Flanders 7 8. EDCTP (European Commission) 9. Canadian Institutes of Health Research 10. Cancer Research UK 11. British Heart Foundation 12. Horizon Europe 13. Health Research Council (New Zealand) 14. Swiss National Science Foundation 15. Institut Pasteur (France) 16. BMBF (Germany) 17. DGF: German Research Foundation 18. Blood Cancer UK 19. NHMRC (Australia) 20. ZonMw (Netherlands) 21. FWF: Austrian Science Fund 22. Indian Council of Medical Research 23. Independent Research Fund (Denmark) 24. Ministry of Health (Italy) 25. Institute of Health Carlos III (Spain)

Improvements: EU



How Funders Reacted to the Outreach Process

Overall

Positive reaction from most funders, with scoring of a sizeable number of policy items being adjusted postoutreach.

Template policy document provided to funders afterwards, which should lead to an improvement in WHO best practice scores during our next assessment phase.

British Heart Foundation

- Endevours to ensure 100% of trials are registered prospectively
- Seeks to improve disclosure of clinical trail results
- Follow up with individual trial teams
- Will share lay summaries of trial results, improving assessibility

German Research Ministry (BMBF)

- Plans to monitor and document whether researchers make publication requirements
- Currently reviewing which sanctioning measures would be appropriate in case researchers do not make trial results public within set timeframes



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