



Product Assurance for AI-based Telemetry Health Monitoring of Safety-Critical Space Robotics

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Kelly Gasperski, Simon Diemert, Emmanuel Lesser, Adam Casey, Nader Abu El Samid, Malav Naik, Justin Kernot, Laure Millet, **Jeff Joyce**, Paul Grouchy

MDA Space at a Glance

55+ years leading in space innovation, with 3,800 experts worldwide

40+ years of on-orbit robotics heritage, over 3 million hours of engineering support and a 100% mission success rate

Advancing next-generation robotics software to deliver greater autonomy, enabling mission partners to do more safely and reliably in orbit and on off-world surfaces



About Critical Systems Labs



Presentation Abstract

This presentation reports on assurance aspects of a study that explores the application of Artificial Intelligence (AI) and Machine Learning (ML) technologies in safety-critical space robotics, with a focus on the use of AI in the ground control segment to enhance operator decision-making. A key challenge is to assure safety and achieve safety certification in a context where established standards and published guidance are not entirely compatible with the use of AI/ML. This study is partially motivated by fundamental uncertainty about how AI-based functionality can be validated to provide high confidence in its safety, i.e., high recall, low false positives. This study also seeks to identify what strategies can be used to mitigate the human factors risk that operators become complacent by trusting the AI-functionality to monitor operational safety. While focused on a particular application for space-robotics, the results of this study will be broadly applicable beyond the space community including other technical domains such as automotive and medical devices that are rapidly integrating AI/ML into safety-critical technology. Preliminary findings and future research directions will be presented

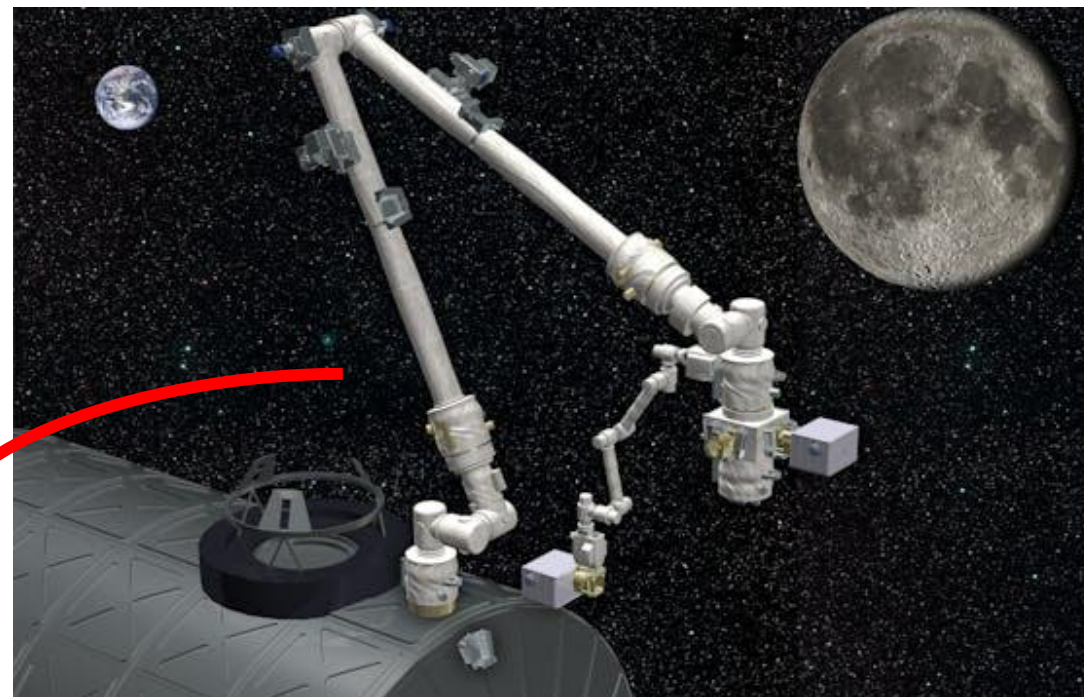
Problem Formulation

Operators review telemetry data from the Flight Segment to check for **anomalous behaviour** suggestive of system failures.



Ground Segment

Flight Segment



Key Operational Challenges:

1. Rule-based monitoring (“if-then-else”) is difficult to scale to complex anomalies.
2. Manual review by operators can miss subtle anomalies, especially in a demanding operational environment.



*Will using AI
impact operator
capability?*

*AI does not
get “tired”*

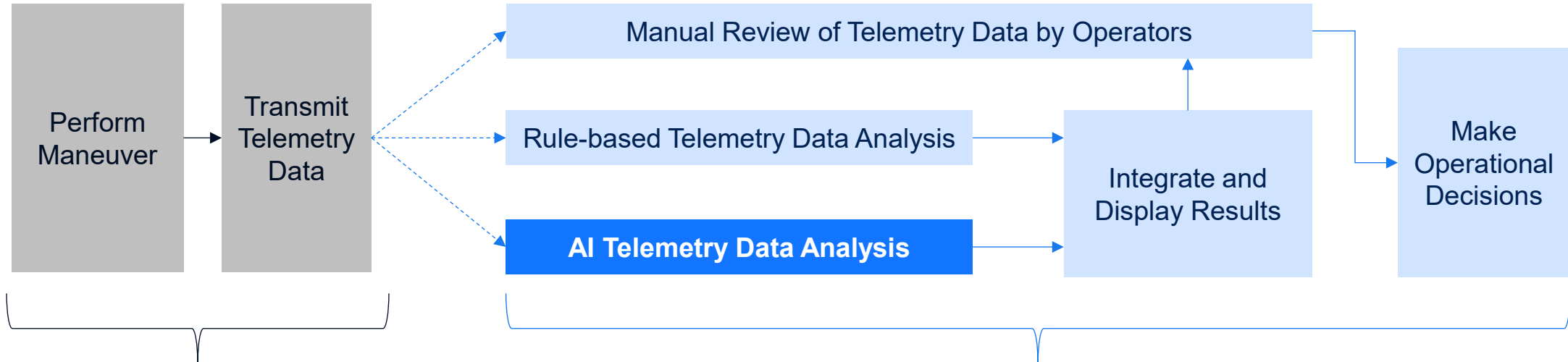
*How do we certify
an AI-enabled
system?*

Can we use AI to detect
anomalies in telemetry data
sent by the flight segment?

*Do we trust an AI
to do this task?*

*AI can analyze
data very quickly*

*AI can detect complex
anomalies, based on previous
experience (training data)*

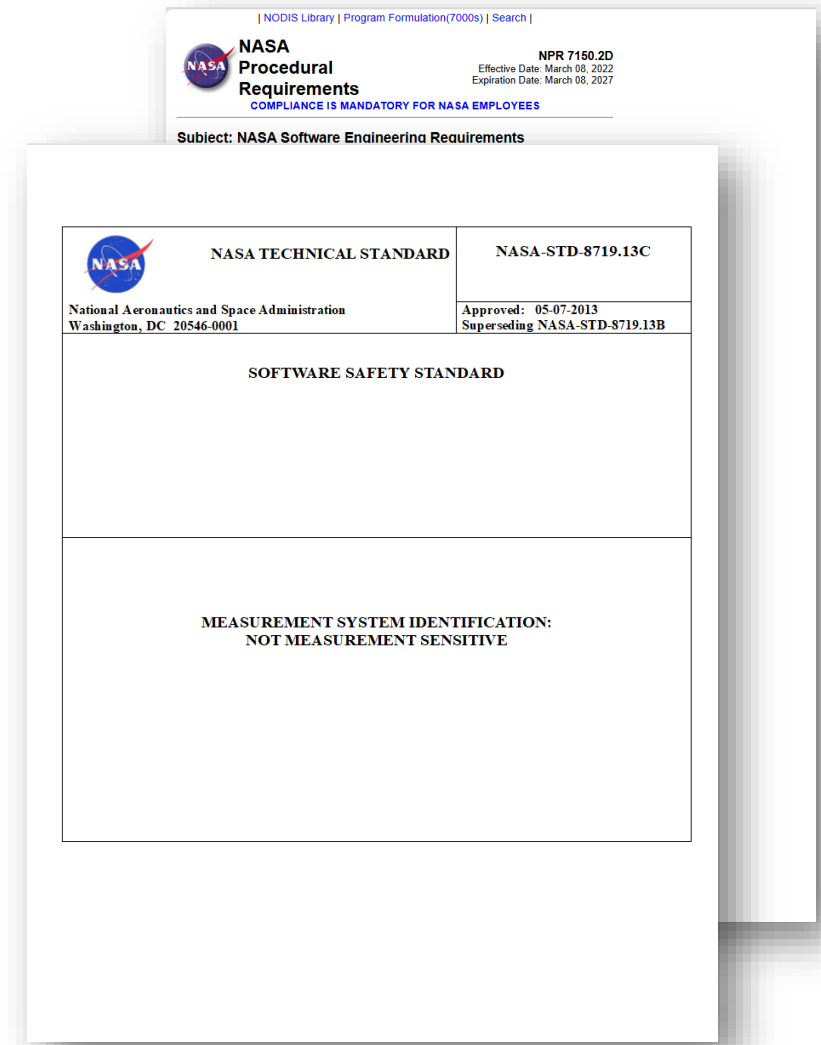


Guiding Principle: Introducing AI-based telemetry monitoring should reduce safety risk relative to the same system without it.



But we still must certify it!

- Safety-related software should be certified:
 - NASA-STD-8719.13
 - NPR-7150.2
 - ECSS-E-ST-40C and ECSS-Q-ST-80C
- But these standards do not account for AI or machine learning technology.
- *What do other industries do?*



Autonomous vehicles use AI, right?

Key Ideas:

1. Define function of the AI.
2. Define the operating environment of the AI.
3. Study the behaviour of the AI within the defined operating environment.
4. **Create a safety case.**

Generated by Google Gemini II.



Publicly
Available
Specification

Reference number
ISO/PAS 8800:2024(en)

© ISO 2024



UL 4600

INTERNATIONAL
STANDARD

**ISO
21448**

First edition
2022-06

**Road vehicles — Safety of the intended
functionality**

Véhicules routiers — Sécurité de la fonction attendue

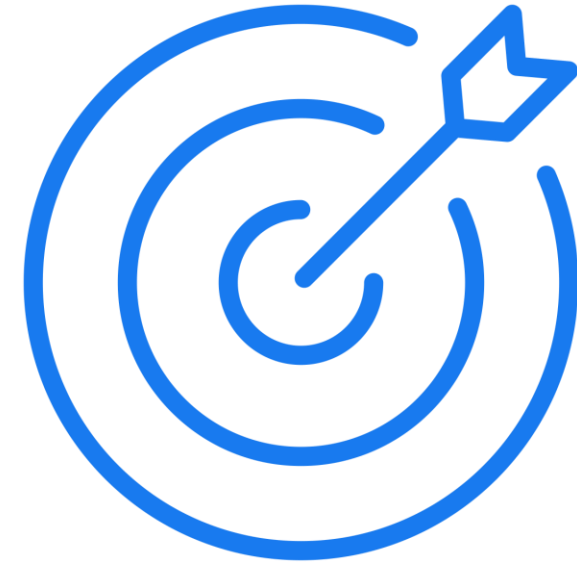


Reference number
ISO 21448:2022(E)

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Three Objectives

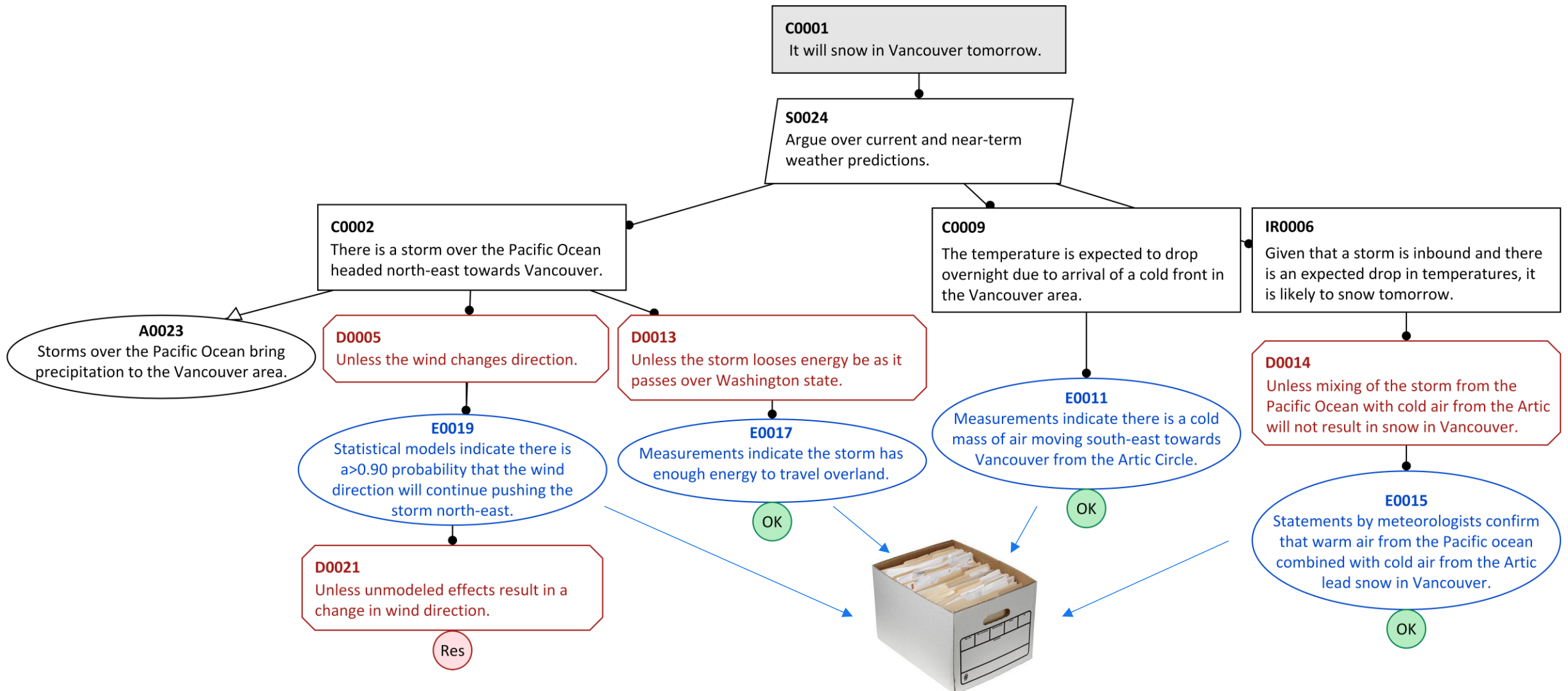
1. Develop a **safety case argument** for AI-based telemetry monitoring.
2. Review literature on **human factors** related to AI-based monitoring systems.
3. Identify and apply **validation methods** for AI models.



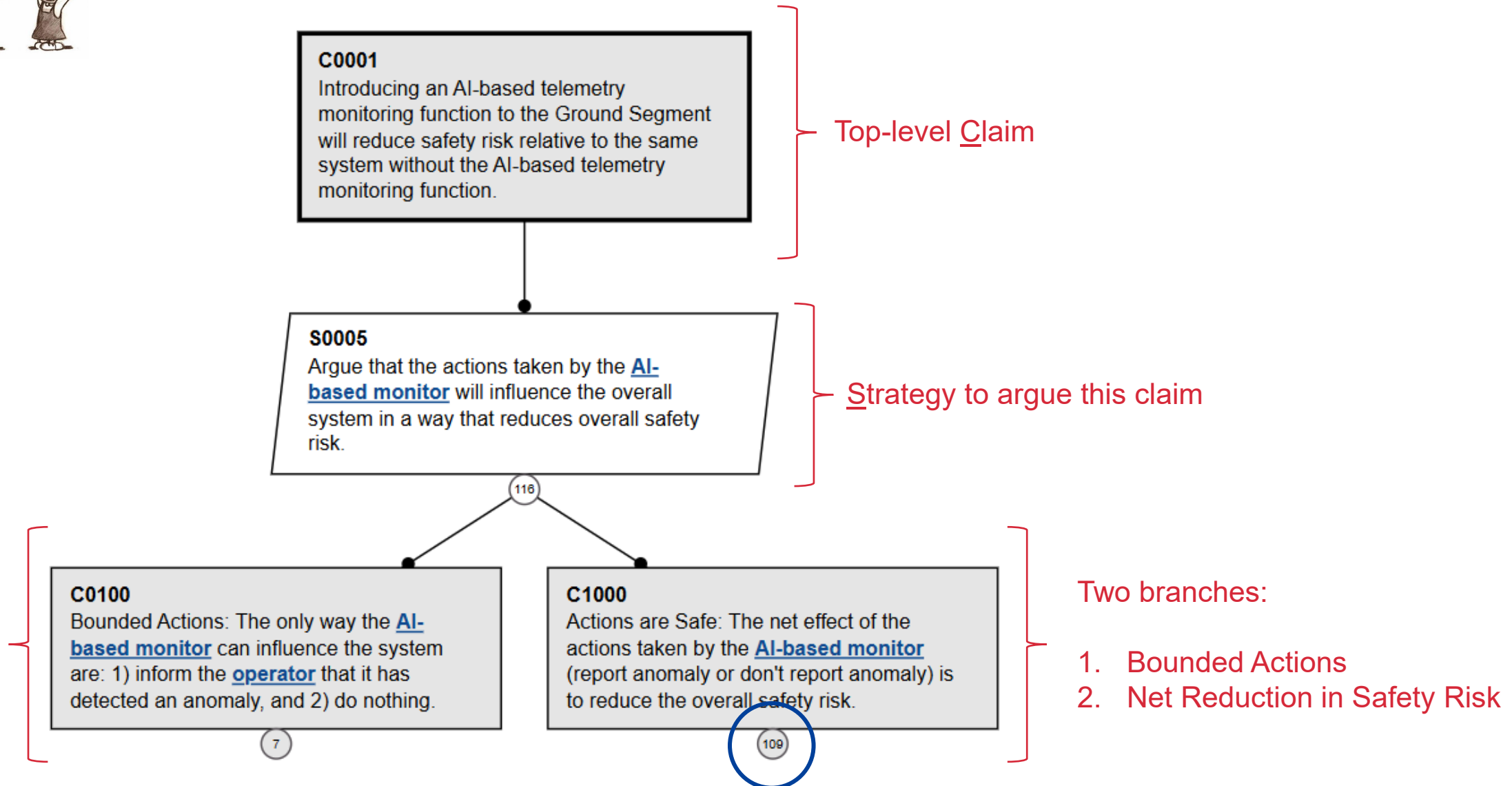


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C1000

Actions are Safe: The net effect of the actions taken by the AI-based monitor (report anomaly or don't report anomaly) is to reduce the overall safety risk.

Divide this claim into two cases....

C1001

When an anomaly is present, actions taken by the monitor will reduce risk overall.

93

Case: Anomaly Present

C1002

When an anomaly is not present, actions taken by the monitor will only minimally increase overall risk, if at all.

92

Case: Anomaly Not Present

IR1008

"An anomaly is present" and "an anomaly is not present" covers all possible cases. If risk is reduced overall when an anomaly is present, and no more than minimally increased when an anomaly is not present, then overall risk is reduced.

4



C1001
When an anomaly is present, actions taken by the monitor will reduce risk overall.

Divide this claim into two cases....

C1003
If the AI-based monitor correctly reports an anomaly (true positive), safety risk is maintained or decreased.

12

Case: True Positive

C1007
If the AI-based monitor fails to report an anomaly (false negative), safety risk is minimally increased

10

Case: False Negative

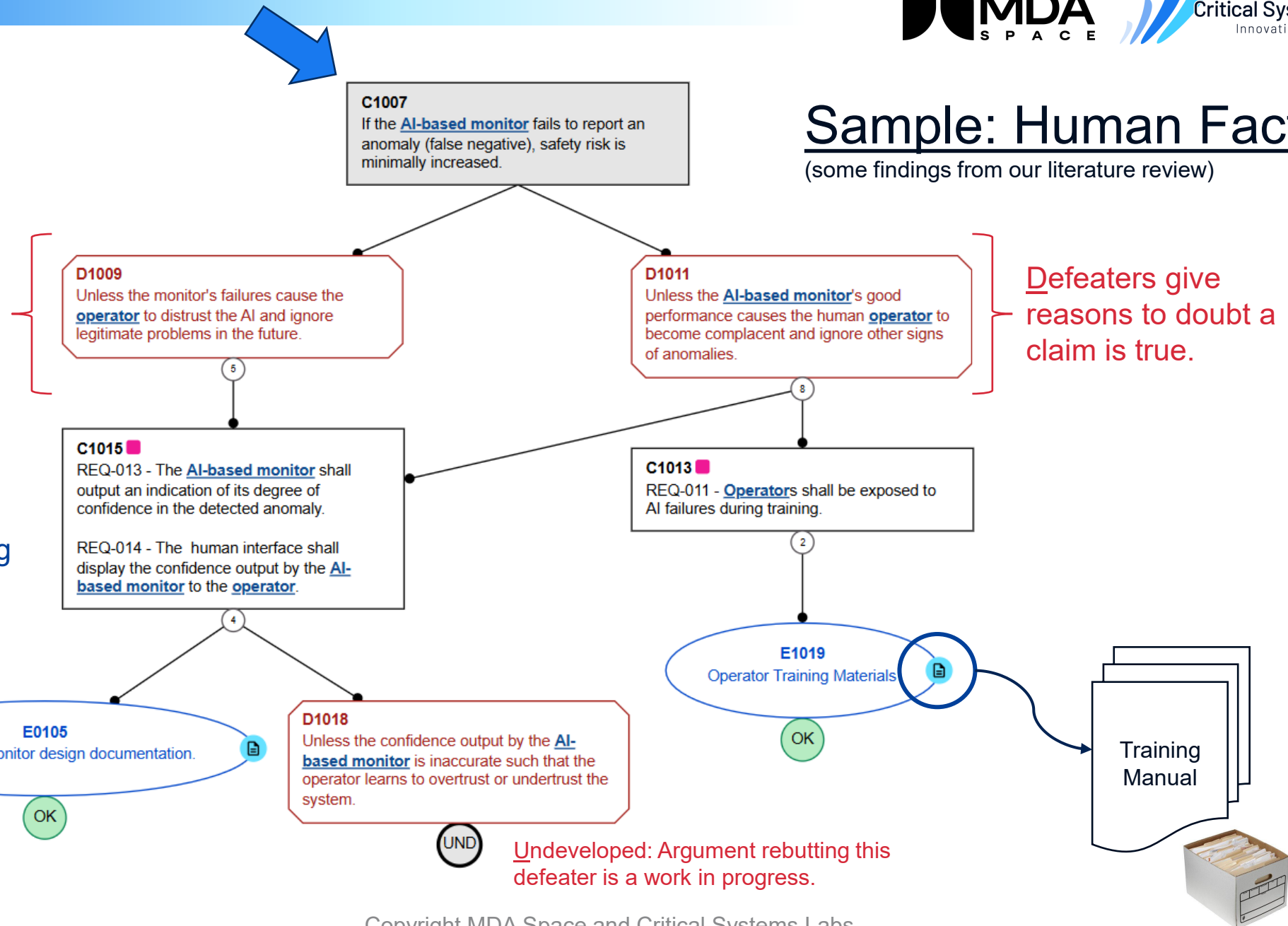
IR1016
If correctly reporting an anomaly maintains or decreases safety risk, and failing to report an anomaly only minimally increases safety risk, then safety risk overall is maintained or decreased when an anomaly is present.

77



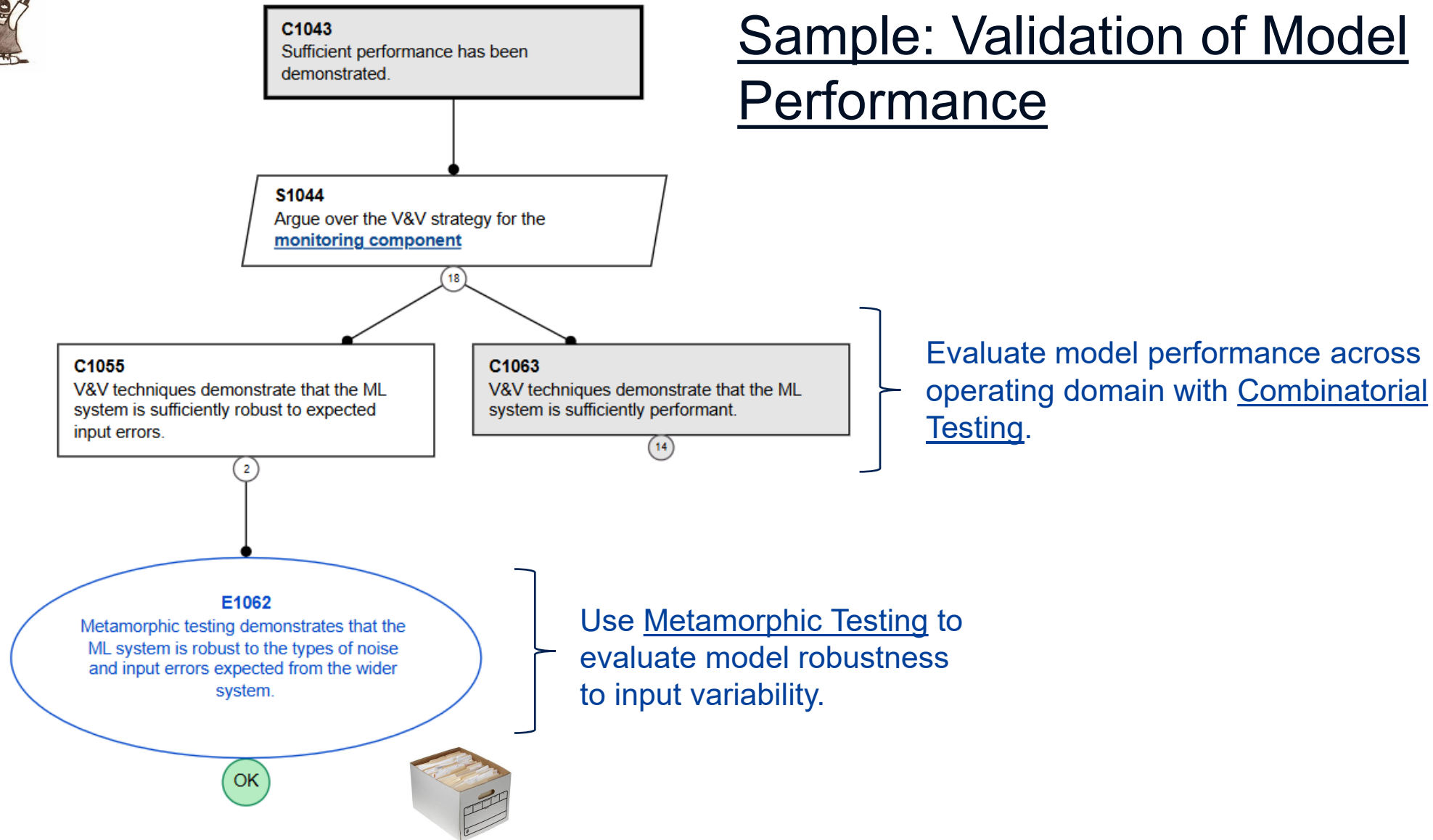
Sample: Human Factors

(some findings from our literature review)

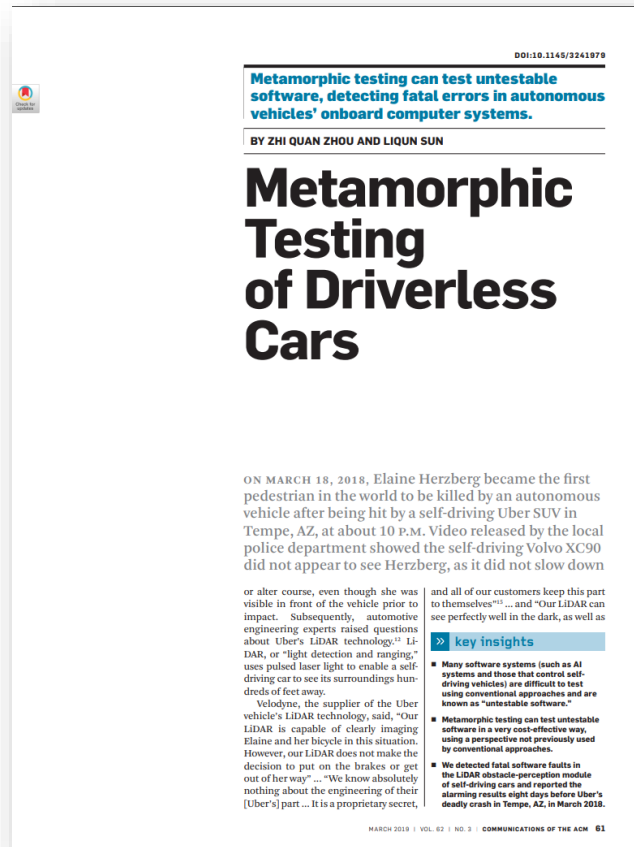




Sample: Validation of Model Performance



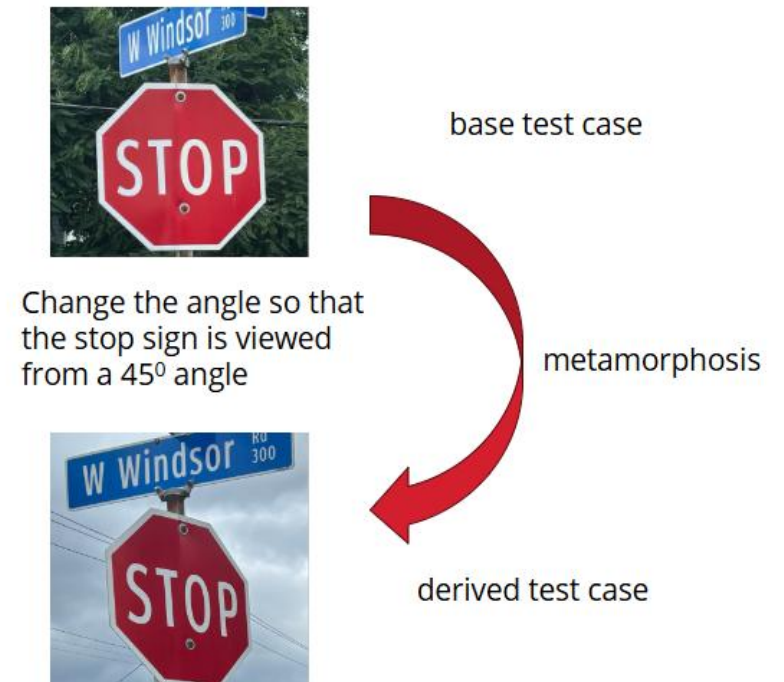
Metamorphic Testing: Overview



Z. Q. Zhou and L. Sun, "Metamorphic testing of driverless cars," *Commun. ACM*, vol. 62, no. 3, pp. 61–67, Feb. 2019.



L. Millet, S. Diemert, R. Debouk, R. S, M. Delgado, and J. Joyce, "Specifying Functional Safety Requirements for AI/ML in terms of Metamorphic Relations," presented at the International System Safety Conference, Minneapolis, MN, United states, Aug. 2024.



Metamorphic Testing: Example Relation

We have identified 15 MRs for our study.

MR act as a type of functional requirement for an ML model.

Research Question: Do these MRs discriminate between models of different quality?

MR #12:

GIVEN that the model correctly detects a nominal event (no anomaly present),

Base Case

APPLYING gaussian noise with standard deviation 1 is to Input A,

Transformation

RESULTS IN the model detecting an anomaly.

Expected Result

Metamorphic Testing: Preliminary Results

Metamorphic Relation	Number of Failing Tests (by highest-quality models)
Given that the model correctly detects a nominal event, if the time profile is shifted by +/- 1s , the model should still detect it as nominal.	30 / 150
Given that the model correctly detects a nominal event, if the Input B profile is shifted by +/- 0.1 units , the model should still detect this change as nominal.	30 / 150
Given that the model correctly detects a nominal event, if the gaussian noise with standard deviation 0.25 is added to Input A , the model should still detect no anomaly.	30 / 150
Given that the model correctly detects a nominal event, if gaussian noise with standard deviation 0.25 is added to Input B , the model should still detect no anomaly.	30 / 150
Given that no anomaly is detected and there are fewer than 2.5% outliers, if 5% random outliers are added to Inputs A and B , the model should detect an anomaly.	30 / 150

Preliminary Observation: MRs can reveal inconsistencies between an engineer's expectations of a model and the model's actual behaviour.

Validation Method: Combinatorial Testing

- Approach developed by researchers U.S. NIST
- Generate a set of test cases that contain a t -way tuples of the input set.
 - For conventional software, $t \leq 6$ is sufficient to find *most* (~99%) of software defects [1].
- **Research Question:** Does CT discriminate between ML models of varying quality.
 - Experiment in progress!

Given $A, B, C \in \{0,1\}$ for $t = 2$:

A	B	C
0	0	1
0	1	0
1	1	1
1	0	0

[1] R. Kuhn, R. Kacker, and Y. Lei, "Practical Combinatorial Testing," National Institute of Standards and Technology, NIST Special Publication (SP) 800-142, Oct. 2010.

Combinatorial Testing: Preliminary Results

Preliminary results averaged across high-quality models, on a subset of the identified operating domain.

t value	# Test Cases	# Passed Test Cases	Sensitivity	Specificity
t=1	21	21 (100%)	100%	0%
t=2	441	421.5 (95.6%)	95.7%	0%
t=3	1384	1334.9 (96.5%)	96.5%	50.0%
t=4	3970	3834.4 (96.6%)	96.7%	50.0%

Preliminary Observation: Higher combinatorial strengths begin to reveal model insufficiencies. On-going experiments are exploring this question more deeply.

Summary and Takeaways

The results of this project will enable MDA Space to:

1. Use **safety case arguments** as a key tool for certifying AI-enabled safety-critical systems, driving more reliable and consistent operations
2. Incorporate **human factors** as part of the safety argument to better integrate AI with operators, handle greater data volumes, and enhance preventative maintenance to reduce downtime and costs
3. Apply the safety argument to **organize and motivate** verification methods (e.g., metamorphic and combinatorial testing), creating a **scalable framework** to extend these benefits across future missions and platforms





Emmanuel Lesser
Software S&MA Lead
emmanuel.lesser@mda.space

Jeff Joyce
CEO / President
jeff.joyce@cslabs.com