

2026 ASIAN REGIONAL FORUM ON INVESTMENT MANAGEMENT OF FOREIGN EXCHANGE RESERVES

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ALAN AU

Executive Director (Risk and Compliance)
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Alan Au is the Executive Director (Risk and Compliance) and Chief Risk Officer (Exchange Fund Investment Office) at the Hong Kong Monetary Authority (HKMA). He assumed the role in June 2026 and is responsible for the oversight of investment risks and other risk-generating activities across the HKMA.

Alan joined the HKMA in 2010 as Division Head of the Corporate Development Division. He has since held a number of senior positions, including Administrative Assistant to the Chief Executive and Division Head in the Banking Supervision Department. Prior to his current role, he served as Executive Director (Banking Conduct) from December 2017 to June 2026, leading the conduct supervision of banks in Hong Kong and overseeing areas including consumer protection, financial inclusion, bank culture, licensing matters, and financial market infrastructures. Before joining the HKMA, he was an Administrative Officer in the Hong Kong SAR Government, working in information technology, financial services, treasury, development and public works, and other public policy areas.

Mr. Au holds a Bachelor of Science in Electrical Engineering and a Master of Science in Finance. He is a CFA charterholder.