



## Frailty Screening for Community-dwelling Older Adults in Northern Thailand using Machine Learning Models

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## What is Frailty?

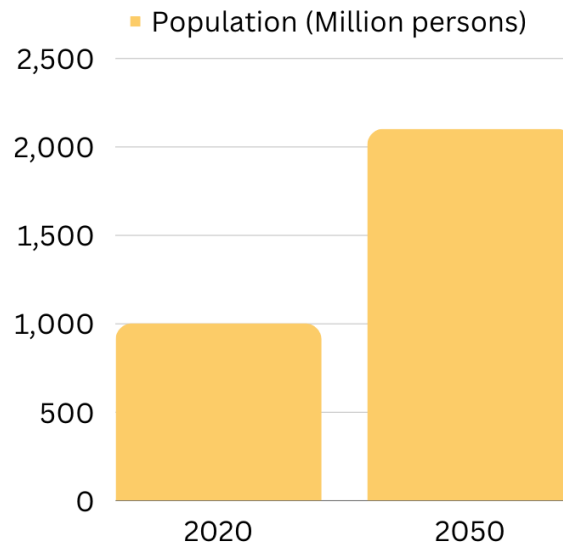
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**A clinical state of increased vulnerability due to age-associated decline of an individual's body resulting in increased morbidity and mortality when exposed to everyday or acute stressor.**



## Why focus on frailty?

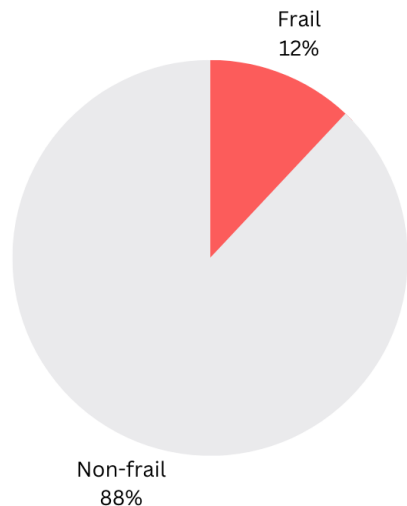
The number of people aged 80 years old and older will reach **426 million in 2050** (2)



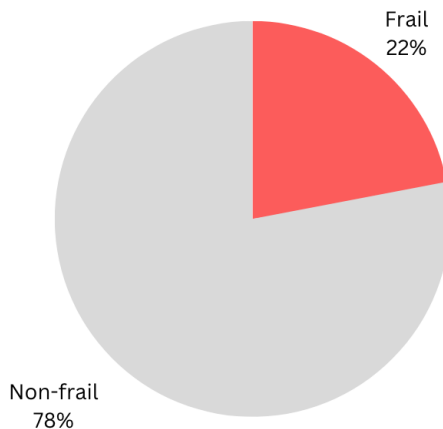
According to WHO the population aged 60 years and over will increase from 1 billion in 2020 to 2.1 billion in 2050 (1)



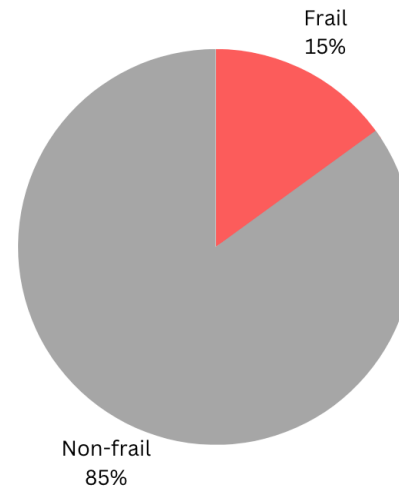
## Why focus on frailty?



Global Frailty Prevalence <sup>(3)</sup>



Thai Frailty Prevalence



Thai Northern Region Frailty Prevalence <sup>(4)</sup>



## Why focus on frailty?

- Decreased quality of life
- Decreased strength
- Increased risk of fall
- Increased re-admission hospitalization
- Increased all-cause mortality
- Slow gait speed
- Depressive symptoms

(5,6,7,8)



5. Nguyen, Nguyen, Nguyen, Nguyen, Nguyen, Pham, et al. Frailty Prevalence and Association with Health-Related Quality of Life Impairment among Rural Community-Dwelling Older Adults in Vietnam. *Int J Environ Res Public Health*. 2019 Oct 12;16(20):3869.  
6. Setiati S, Laksmi PW, Aryana IGPS, Sunarti S, Widajanti N, Dwipa L, et al. Frailty state among Indonesian elderly: prevalence, associated factors, and frailty state transition. *BMC Geriatr*. 2019 Dec;19(1):182.  
7. Srinoprasert V, Dhalermari C, Aekplakorn W. Frailty index to predict all-cause mortality in Thai community-dwelling older population: A result from a National Health Examination Survey cohort. *Arch Gerontol Geriatr*. 2018 Jul7;124-8.  
8. Cheng MH, Chang SF. Frailty as a Risk Factor for Falls Among Community Dwelling People: Evidence from a Meta-Analysis: Falls With Frailty. *J Nurs Scholarsh*. 2017 Sep;49(5):529-36.



# FRAILTY could be reversed.



**EXERCISE  
INTERVENTION**

**EARLY DETECTION**



**NUTRITION  
INTERVENTION**



# Research Question

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**Can machine learning models screen frailty in community-dwelling older adults in Northern Thailand?**



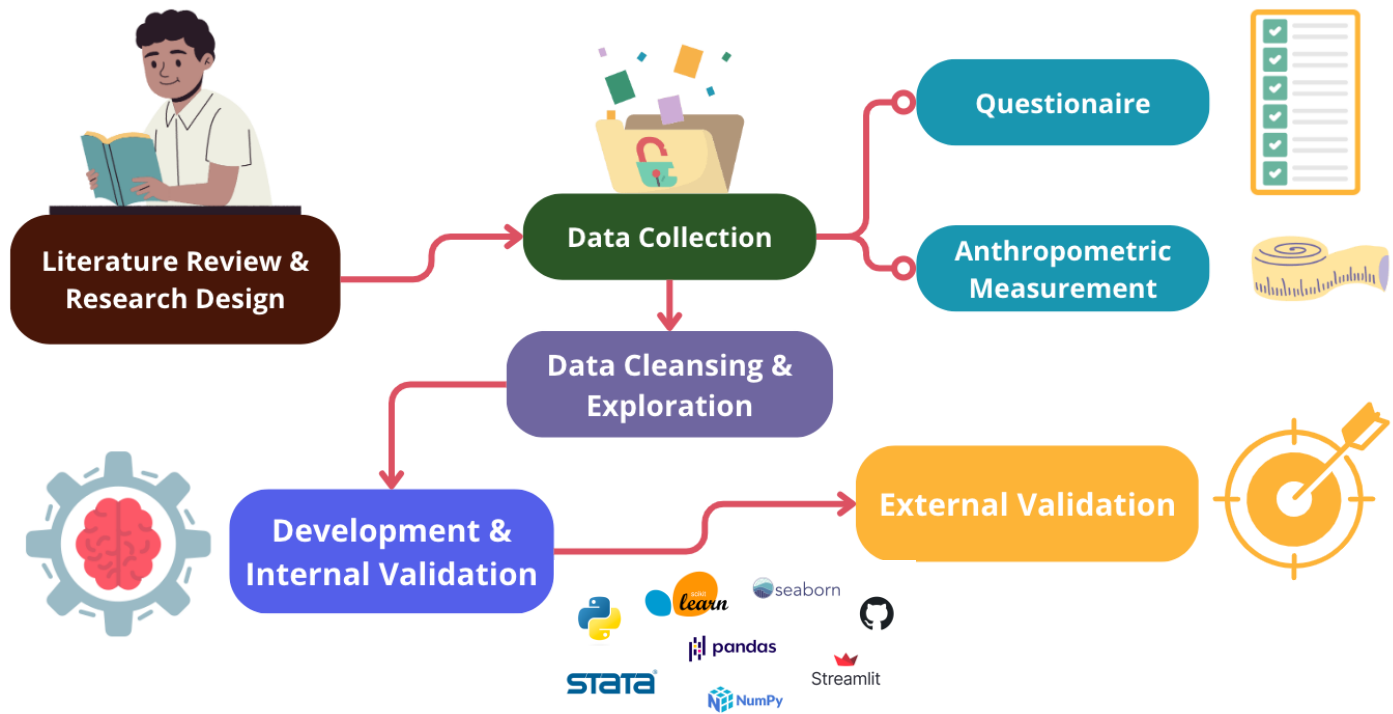
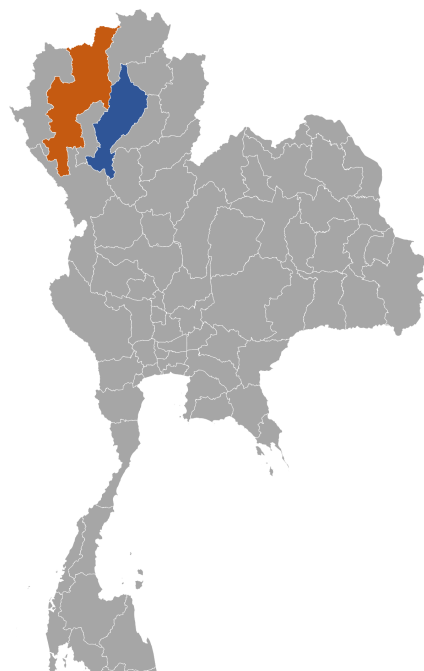
## Research Objectives

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- To **develop and internally validate** performance of frailty prediction using machine learning models in community-dwelling older adults in **Lampang, Thailand**
- To **externally validate** machine learning models in community-dwelling older adults in **Chiang Mai, Thailand**



## Methods





## Methods: Source of Data

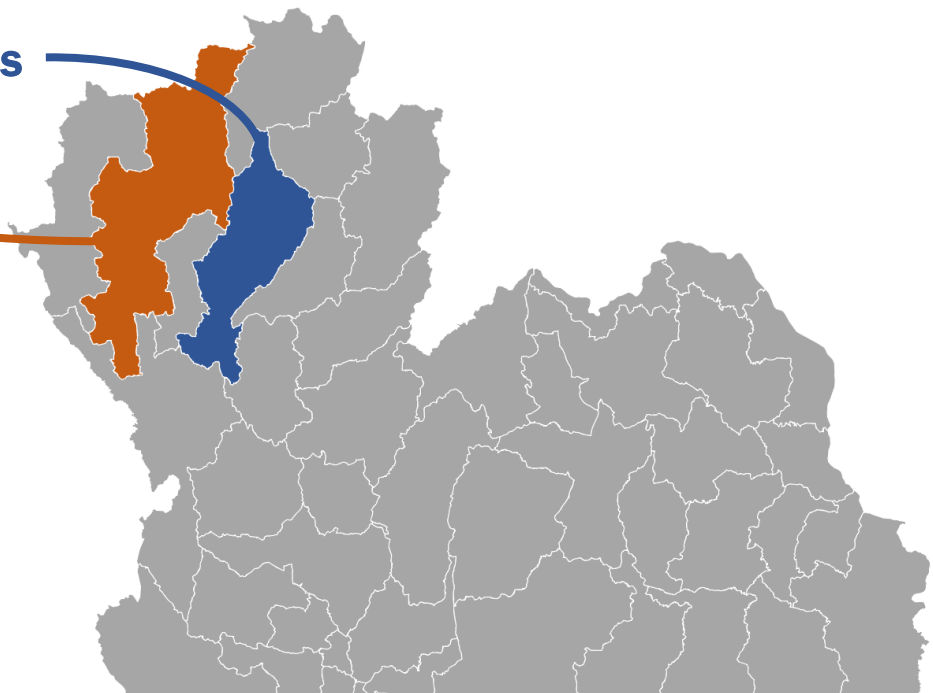
### Development & Internal Validation Datasets

Lampang, Thailand: 2,228 Samples

### External Validation Datasets

Chiang Mai, Thailand: 464 Samples

- Old adults aged 60 years and above.
- Cross-sectional Survey
- Those with dementia (as determined by the Thai Mental State Examination), blindness, deafness, **bedridden** status, disabilities, or severe acute diseases will be excluded.





# Predictors: Characteristics and Demographics

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- Socio-demographic (age, living status, gender, and education level)
- Self-reported medical diagnoses (such as hypertension, diabetes mellitus, and heart disease)
- Level of physical activity per week
- Level of Exhaustion



## Predictors: Anthropometric Variables

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- Body mass index
  - Height
  - Bodyweight
- Circumference of waist and calves
- Handgrip strength
- Walking time



## Data Exploration

**Table 1.** The characteristics of participants in the development and internal validation datasets, and the external validation dataset.

Characteristics	Development and internal validation datasets (Lampang, 2016-2017) (N = 2,228)	External validation datasets (Chiang Mai, 2021) (N = 464)	p-value
	n (%)	n (%)	
Age (years), mean (±SD)	70.96 (±7.49)	70.68 (±5.58)	0.446
<b>Gender</b>			
Male	1,569 (70.45%)	193 (41.59%)	<0.001
Female	658 (29.55%)	271 (58.41%)	
<b>Status</b>			
Living alone	160 (7.18%)	42 (9.05%)	<0.001
Living with spouse	1,177 (52.85%)	9 (1.94%)	
Living with children	823 (36.96%)	283 (60.99%)	
Living with Relatives or others	67 (3.01%)	130 (28.02%)	

<b>BMI (kg/m<sup>2</sup>), mean (±SD)</b>	32.64 (±7.40)	22.73 (±3.89)	0.001
<b>Education</b>			
No Education	192 (8.62%)	12 (2.61%)	<0.001
Primary School	1,745 (78.36%)	398 (86.52%)	
Secondary School or Higher	290 (13.02%)	50 (10.87%)	
<b>Underlying diseases</b>			
Hypertension	1,008 (45.26%)	237 (51.08%)	0.022
Dyslipidaemia	438 (19.67%)	79 (17.03%)	0.190
Type 2 Diabetes Mellitus	361 (16.21%)	78 (16.81%)	0.747
Heart diseases	96 (4.31%)	18 (3.88%)	0.676
<b>Anthropometric variables</b>			
Waist Circumference (cm), means (±SD)	83.40 (±11.23)	81.16 (±10.80)	<0.001
Calf Circumference (cm), means (±SD)	32.40 (±4.35)	32.85 (±4.55)	0.044
Walk time (min), means (±SD)	6.42 (±2.01)	8.50 (±5.38)	<0.001
Exhaustion	218 (9.79%)	189 (40.74%)	<0.001
Adequate level of Physical Activity by WHO	363 (16.30%)	104 (22.42%)	<0.001
Grip Strength (kg), means (±SD)	32.40 (±6.68)	19.87 (±7.29)	<0.001



## Features Selection

**Table 2.** The comparison of participants' characteristics and their associations with frailty in the development and internal validation datasets.

Characteristics	Frailty (n = 385) n (%)	Non-frailty (n = 1842) n (%)	Crude aOR (95% CI)	p-value
<b>Age (years), mean (±SD)</b>	69.87 (±6.99)	385 (±7.60)	1.12 (1.10-1.14)	< 0.001
<b>Gender</b>				
Female	105 (27.27%)	553 (30.02%)	(ref.)	
Male	280 (72.73%)	1289 (69.98%)	0.87 (0.68-1.11)	0.283
<b>Status</b>				
Living alone	22 (5.71%)	138 (7.49%)	(ref.)	
Living with spouse	159 (41.30%)	1018 (55.27%)	0.98 (0.61-1.58)	0.933
Living with children	189 (49.09%)	634 (34.42%)	1.87 (1.16-3.01)	0.01
Living with Relatives or others	15 (3.90%)	52 (2.82%)	1.81 (0.87-3.75)	0.11

<b>BMI (kg/m<sup>2</sup>), mean (±SD)</b>	29.55 (±7.74)	33.28 (±7.16)	0.93 (0.91-0.95)	<0.001
<b>Education</b>				
No Education	54 (14.03%)	138 (7.49%)	(ref.)	
Primary School	289 (75.06%)	1,456 (79.04%)	0.51 (0.36-0.71)	<0.001
Secondary School or higher	42 (10.91%)	248 (13.46%)	0.43 (0.28-0.68)	<0.001
<b>Underlying diseases</b>				
Hypertension	201 (52.21%)	807 (43.81%)	1.40 (1.12-1.74)	0.003
Dyslipidaemia	82 (21.30%)	356 (19.33%)	1.13 (0.86-1.48)	0.376
Type 2 Diabetes Mellitus	70 (18.18%)	291 (15.80%)	1.18 (0.88-1.58)	0.248
Heart diseases	25 (6.49%)	71 (3.85%)	1.73 (1.08-2.77)	0.020
Waist Circumference (cm), means (±SD)	83.21 (±12.01)	83.43 (±11.06)	0.99 (0.99-1.01)	0.723
Calf Circumference (cm), means (±SD)	31.75 (±3.94)	32.54 (±4.42)	0.95 (0.92-0.98)	0.001
Walk time (min), means (±SD)	8.55 (±2.6)	5.97 (±1.52)	2.04 (1.88-2.20)	< 0.001
Exhaustion	153 (39.74%)	65 (3.53%)	18.03 (13.08-24.85)	< 0.001
Adequate level of Physical Activity by WHO	148 (38.44%)	215 (11.67%)	4.73 (3.68-6.07)	< 0.001
Grip Strength (kg), means (±SD)	14.42 (±3.99)	20.74 (±6.62)	0.81 (0.78-0.83)	< 0.001



## Model Development & Internal Validation

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- Resolved unbalanced classification for the dataset's minority class by employing the Synthetic Minority Oversampling Technique (SMOTE) to enhance model decision boundaries via **`imblearn.over_sampling.SMOTE` package**



## Model Development & Internal Validation

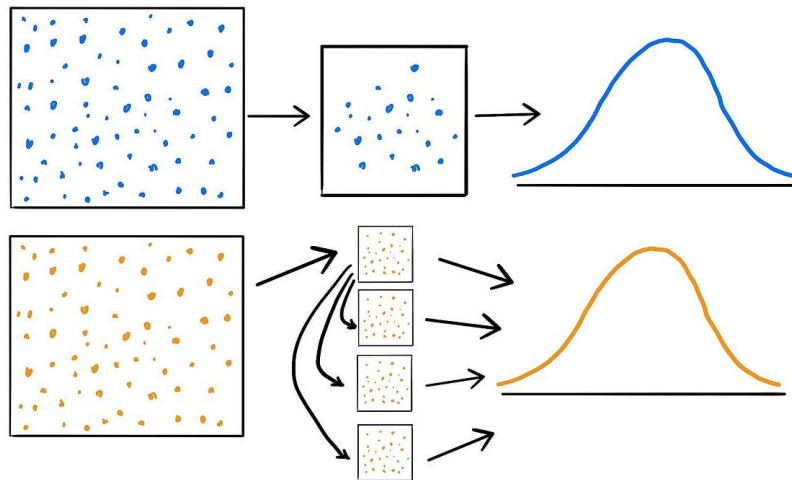
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- K-Nearest Neighbors (KNN) algorithm, Random Forest ML algorithms (RF), Multi-layer Perceptron Artificial Neural Network (MLP), Gradient Boosting Classifier (GBC), Linear Support Vector Classifier (SVM) and Logistic Regression (LR) models via the **Scikit-Learn library 1.1.2**.
- The hyperparameters will be determined by using a grid search via the **GridSearch CV package with 10-fold cross-validation**



## External Validation

- Discriminative performance matrices and a 95%CI of AUC from the 1000-bootstrapping samples.

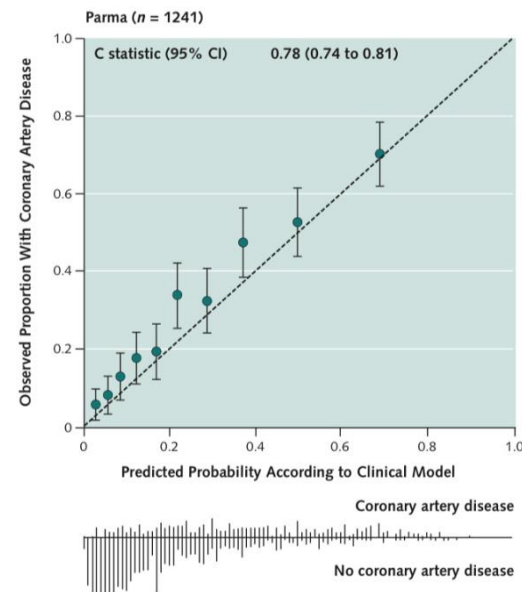




## Model Calibration

- To ensure that the **estimated class probabilities** are consistent with what would naturally occur.

*Figure 8.* Example figure: a calibration plot with c-statistic and distribution of the predicted probabilities for individuals with and without the outcome (coronary artery disease).



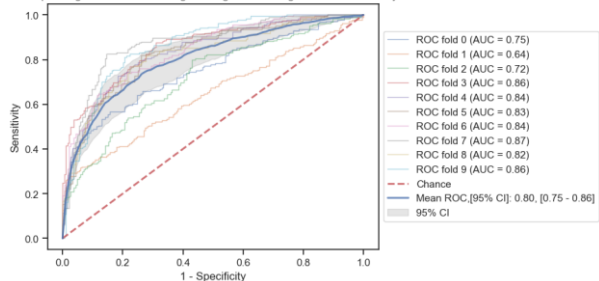
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# MEDINFO23

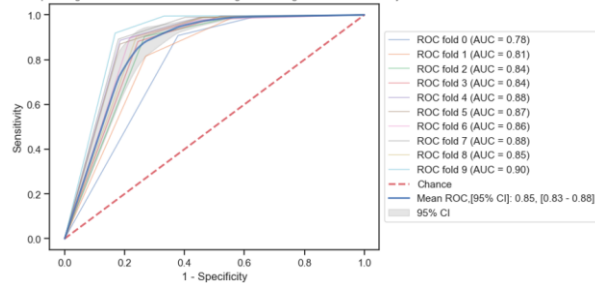
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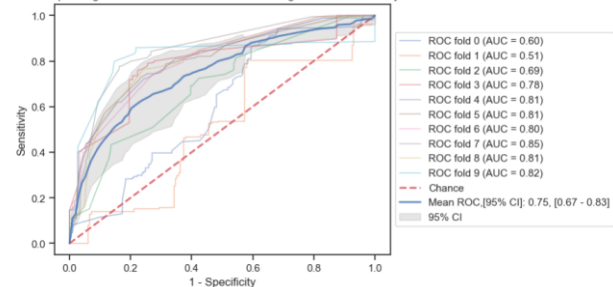
Receiver Operating Characteristic of Logistic Regression using Rebalanced Data by SMOTE



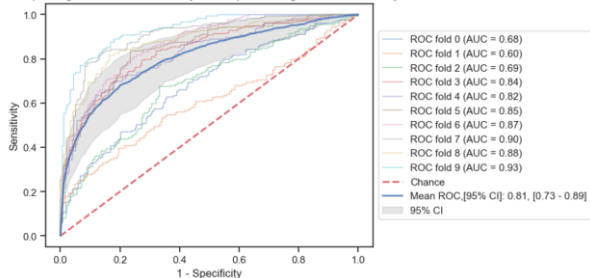
Receiver Operating Characteristic of K-nearest Neighbors using Rebalanced Data by SMOTE



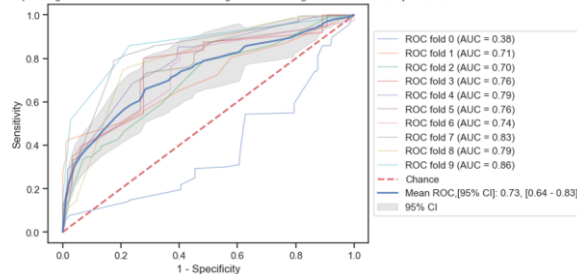
Receiver Operating Characteristic of Random Forest using Rebalanced Data by SMOTE



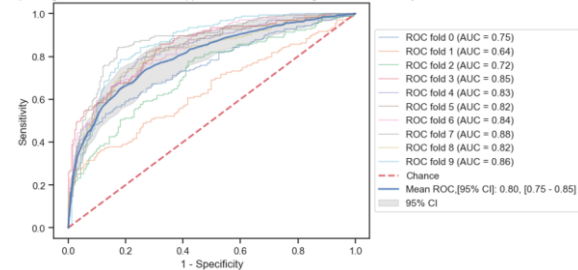
Receiver Operating Characteristic of Multilayer Perceptrons using Rebalanced Data by SMOTE



Receiver Operating Characteristic of Gradient Boosting Classifier using Rebalanced Data by SMOTE



Receiver Operating Characteristic of Linear Support Vector Classifier using Rebalanced Data by SMOTE





**Table 3.** Discrimination and Optimism of Internal Validated models.

Models	Model prediction	(Frailty/ Non-frailty)	AUC		Predictive values		Sensitivity	Specificity
			mean	95% CI	Positive	Negative		
<b>LR</b>	Frailty	1349/493	0.80	(0.75 - 0.86)	0.73	0.73	0.73	0.73
	Non-frailty	504/1338						
<b>KNN</b>	Frailty	1634/208	0.85	(0.83 - 0.88)	0.79	0.87	0.89	0.77
	Non-frailty	430/1412						
<b>RF</b>	Frailty	1491/351	0.75	(0.67 - 0.83)	0.66	0.75	0.81	0.58
	Non-frailty	773/1069						
<b>MLP</b>	Frailty	1373/469	0.81	(0.73 - 0.89)	0.71	0.73	0.75	0.70
	Non-frailty	522/1320						
<b>GBC</b>	Frailty	1372/470	0.73	(0.64 - 0.83)	0.66	0.70	0.73	0.63
	Non-frailty	639/1203						
<b>SVM</b>	Frailty	1349/493	0.80	(0.75 - 0.85)	0.72	0.71	0.71	0.72
	Non-frailty	506/1336						

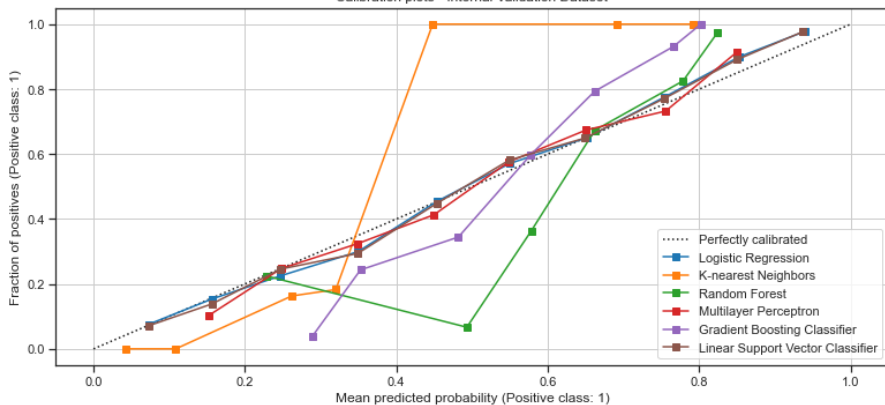
**Table 4.** Discrimination and Optimism of External validated models.

Models	Model prediction	(Frailty/ Non-frailty)	AUC		Predictive values		Sensitivity	Specificity
			mean	95% CI	Positive	Negative		
<b>LR</b>	Frailty	141/51	0.75	(0.71 - 0.78)	0.68	0.73	0.73	0.76
	Non-frailty	65/203						
<b>KNN</b>	Frailty	39/153	0.54	(0.51 - 0.57)	0.53	0.20	0.20	0.87
	Non-frailty	34/234						
<b>RF</b>	Frailty	135/57	0.74	(0.71 - 0.78)	0.70	0.70	0.70	0.78
	Non-frailty	59/209						
<b>MLP</b>	Frailty	42/150	0.54	(0.51 - 0.57)	0.53	0.22	0.22	0.86
	Non-frailty	37/231						
<b>GBC</b>	Frailty	61/131	0.60	(0.57 - 0.63)	0.68	0.32	0.32	0.65
	Non-frailty	29/239						
<b>SVM</b>	Frailty	131/61	0.73	(0.70 - 0.77)	0.69	0.68	0.68	0.78
	Non-frailty	58/210						

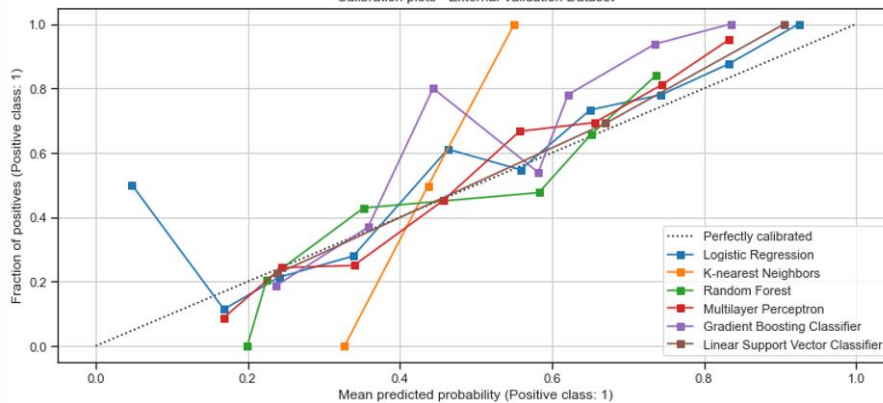


## Model Calibration Results

Calibration plots - Internal Validation Dataset



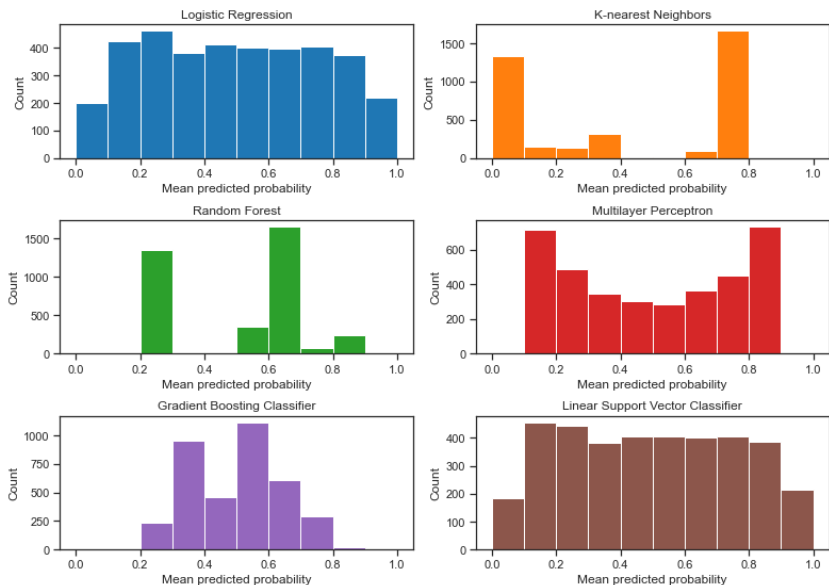
Calibration plots - External Validation Dataset



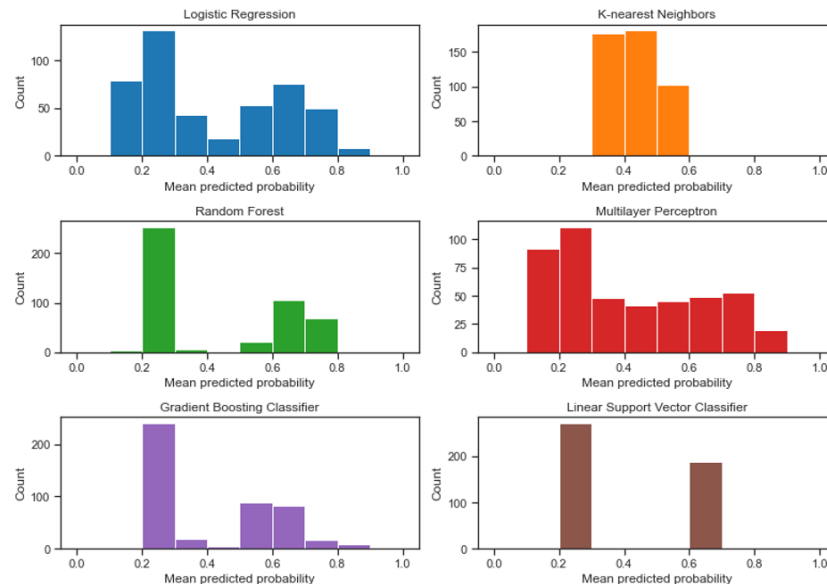


## Model Calibration Results

### Internal Validated Models



### External Validated Models





## Research Question

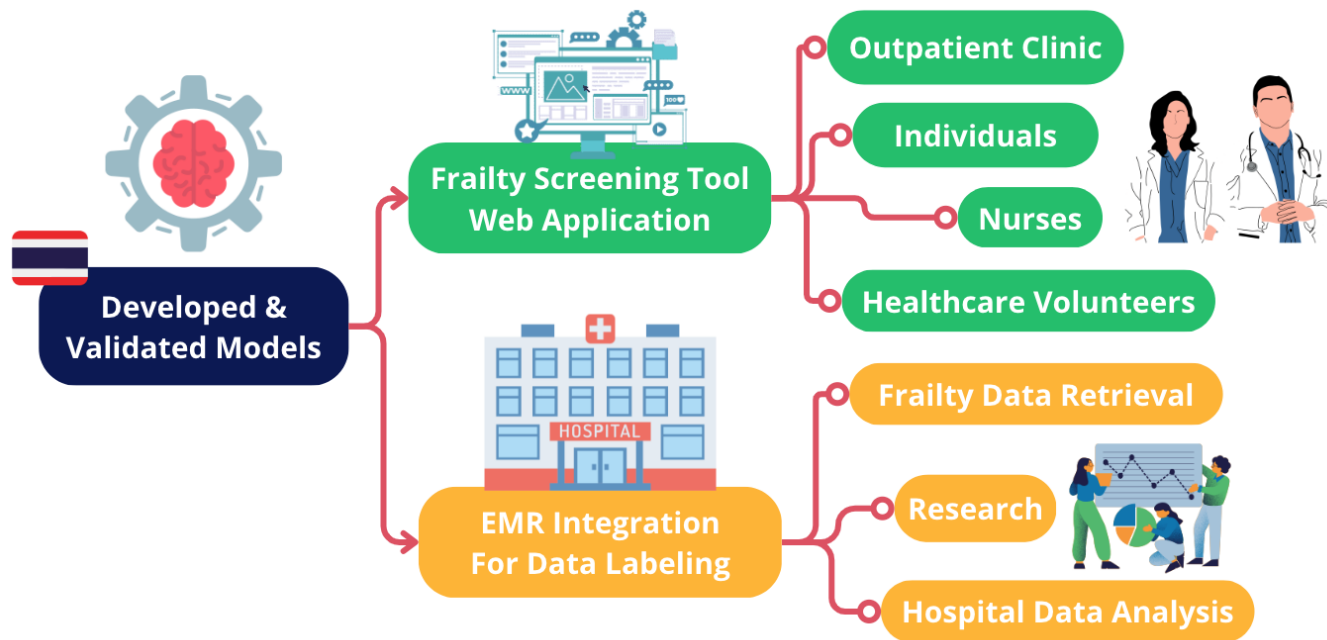
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Can machine learning models screen frailty in community-dwelling older adults in Northern Thailand?

**Absolutely!**



## Models Implication





## Criteria Used to Define Frailty

- Weight loss:** “In the last year, have you lost more than 10 pounds unintentionally (i.e., not due to dieting or exercise)?” If yes, then frail for weight loss criterion. At follow-up, weight loss was calculated as:  $(\text{Weight in previous year} - \text{current measured weight}) / (\text{weight in previous year}) = K$ . If  $K \geq 0.05$  and the subject does not report that he/she was trying to lose weight (i.e., unintentional weight loss of at least 5% of previous year’s body weight), then frail for weight loss = Yes.
- Exhaustion:** Using the CES-D Depression Scale, the following two statements are read. (a) I felt that everything I did was an effort; (b) I could not get going. The question is asked “How often in the last week did you feel this way?” 0 = rarely or none of the time (<1 day), 1 = some or a little of the time (1–2 days), 2 = a moderate amount of the time (3–4 days), or 3 = most of the time. Subjects answering “2” or “3” to either of these questions are categorized as frail by the exhaustion criterion.
- Physical Activity:** Based on the short version of the Minnesota Leisure Time Activity questionnaire, asking about walking, chores (moderately strenuous), mowing the lawn, raking, gardening, hiking, jogging, biking, exercise cycling, dancing, aerobics, bowling, golf, singles tennis, doubles tennis, racquetball, calisthenics, swimming. Kcals per week expended are calculated using standardized algorithm. This variable is stratified by gender.  
*Men:* Those with Kcals of physical activity per week <383 are frail.  
*Women:* Those with Kcals per week <270 are frail.

- Walk Time,** stratified by gender and height (gender-specific cutoff a medium height).

<i>Men</i>	<i>Cutoff for Time to Walk 15 feet criterion for frailty</i>
Height $\leq$ 173 cm	$\geq$ 7 seconds
Height > 173 cm	$\geq$ 6 seconds
<i>Women</i>	
Height $\leq$ 159 cm	$\geq$ 7 seconds
Height > 159 cm	$\geq$ 6 seconds

- Grip Strength,** stratified by gender and body mass index (BMI) quartiles:

<i>Men</i>	<i>Cutoff for grip strength (Kg) criterion for frailty</i>
BMI $\leq$ 24	$\leq$ 29
BMI 24.1–26	$\leq$ 30
BMI 26.1–28	$\leq$ 30
BMI > 28	$\leq$ 32
<i>Women</i>	
BMI $\leq$ 23	$\leq$ 17
BMI 23.1–26	$\leq$ 17.3
BMI 26.1–29	$\leq$ 18
BMI > 29	$\leq$ 21



Try the app!



The screenshot shows a web application interface for frailty classification. The title is "Frailty Classification Using Machine Learning Model - Web App". Below the title, there is a form with several input fields and dropdown menus. The form is titled "Tell us about your age" and includes fields for age (25), sex (Male), living status (Not Living Alone), hypertension (No), hyperlipidemia (No), body mass index (24.48), waist circumference (40.00), calf circumference (25.00), and level of exhaustion (0 = rarely or none of the time (<1 day)). Below the form, there is a question: "Read the following two statements and answer the question. (a) I felt that everything I did was an effort; (b) I could not get going. Question: 'How often in the last week did you feel this way?'". The result is displayed as "Frailty Test Result: You have a LOW probability of frailty. We suggest that you should continue your lifestyle and do not forget to exercise and eat well!".



## Natthanaphop Isaradech, M.D. (Fen)

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Current Project in collaboration with Medical University of Graz, Austria: **Development and validation of clinical document normalization using pre-trained deep learning models in discharge summary notes from a Thai tertiary care hospital**

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